

Internal Audit Annual Report & Opinion 2015/16 – Appendix A

ref	Audit	Revised potential days	Actual Days Taken	Audit Opinion	Status at 09 May 2016	No of Recs	4	3	2	1
01 KF	Budgets	5	4	substantial	final	0	0	0	0	0
02 KF	Cash & Bank	10	10	limited	final	3	2	1	0	0
03 KF	Contracts & Procurement	15	15	substantial	final	1	0	0	1	0
04 KF	Council Tax	3	3	substantial	final	0	0	0	0	0
05 KF	Creditors	5	5		draft					
06 KF	Fraud (non-corporate)	0	0	carried forward to 2016/17						
07 KF	Housing Benefits and LCTS	10	10	substantial	final	1	0	0	1	0
08 KF	Housing Rents	10	6		draft					
09 KF	Income, Fees & Charges	5	6	substantial	final	1	0	0	1	0
10 KF	Main Accounting Systems	3	3	substantial	final	0	0	0	0	0
11 KF	NNDR	3	2	substantial	final	1	0	0	1	0
12 KF	Payroll	10	12	limited	final	5	0	3	2	0
13 KF	Recovery	0	0	carried forward to 2016/17						
14 KF	Taxation	2	2	substantial	final	1	0	0	1	0
15 KF	Asset Management	p	0							
16 KF	Treasury Management	p	0							
17 O	Business Continuity	0	0	carried forward to 2016/17						
18 O	Communication	15	17	substantial	final	2	0	0	2	0
19 O	Community Health & Fitness	10	8	substantial	final	1	0	0	1	0
20 O	Corporate Governance & AGS	10	4	n/a	final	0	0	0	0	0
21 O	Elections	0	0	carried forward to 2016/17						
22 O	Electoral Registration	0	0	carried forward to 2016/17						
23 O	Environmental Health - Imported Food Controls	12	14	adequate	final	2	0	1	1	0
24 O	Homelessness	10	4		testing					
25 O	Housing Allocations	10	9		draft					
26 O	Housing Repairs Service	15	15	adequate	final	3	0	1	2	0
27 O	Housing Right to Buy	10	11	adequate	final	5	0	1	4	0
28 O	Housing Stock & Voids	12	11	substantial	final	2	0	0	2	0
29 O	Housing Stores	15	15	adequate	final	5	0	0	5	0
30 O	ICT	10	9	substantial	final	1	0	0	1	0

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<b>31 O</b>	<b>Information Management &amp; Security</b>	<b>15</b>	<b>18</b>	<b>adequate</b>	<b>final</b>	<b>4</b>	<b>0</b>	<b>2</b>	<b>2</b>	<b>0</b>
32 O	Members' Allowances & Expenses	0	0	carried forward to 2016/17						
33 O	Partnerships	0	0	carried forward to 2016/17						
<b>34 O</b>	<b>Section 106 Obligations</b>	<b>10</b>	<b>9</b>	<b>substantial</b>	<b>final</b>	<b>3</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>1</b>
<b>35 O</b>	<b>Street Services - Fleet &amp; Fuel Management and Transport Maintenance</b>	<b>30</b>	<b>29</b>		<b>draft</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>36 O</b>	<b>Street Services - Trade Waste</b>	<b>10</b>	<b>13</b>		<b>draft</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>37 O</b>	<b>Risk Management</b>	<b>10</b>	<b>10</b>	<b>substantial</b>	<b>final</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
38 O	Performance Management	p	0							
39 O	Equality & Diversity	p	0							
<b>40 O</b>	<b>Car Parking Partnership (NEPP)</b>	<b>10</b>	<b>11</b>	<b>limited</b>	<b>final</b>	<b>3</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>0</b>
41 O	Enforcement	p	0							
42 O	Facilities Management	p	0							
43 O	Grants & External Funding received	p	0							
44 O	HR	p	0							
45 O	Insurance	p	0							
<b>46 O</b>	<b>Licensing</b>	<b>10</b>	<b>12</b>	<b>substantial</b>	<b>final</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
47 O	Museum	p	0							
		<b>305</b>	<b>297</b>	<b>TOTAL RECOMMENDATIONS</b>		<b>44</b>	<b>2</b>	<b>12</b>	<b>29</b>	<b>1</b>